Flood Hazard and Risk Assessment for Climate Change Adaptations in Japan



Ministry of Land, Infrastructure, Transport and Tourism



- 1. Current procedures on flood control planning
- 2. Current methods to evaluate the effectiveness of river improvement plans
- 3. Projection of future climate change in terms of extreme events
- 4. New challenges in flood management based on risk assessment
 (1) Assessment of human damages and the key facilities' damages and their effects in large-scale rivers
 (2) Assessment of safety level against flood for small-and-medium-scale rivers with detailed topographic data
- 5. Summary



1 Current Procedures on Flood Control Planning







2 Current Methods to Evaluate the Effectiveness of River Improvement Plans



Concept of the Evaluation

A river project is thoroughly evaluated before its implementation. The evaluation is used to develop river improvement plans, to make a decision on whether or not to implement/continue a project, to provide explanations about a project at community meetings, etc. All evaluations proceed with the following objectives in mind:

Increase transparency in decision-making processes

➤Ensure accountability to the public

➤Maximize efficiency in utilization of financial and other resources

Key Points of View for the Evaluation

Project evaluations are conducted in light of:

Return on investment (cost-effectiveness analysis, etc.)

- ≻Socio-economic conditions
- ≻Feasibility
- ≻Possible alternatives, etc.

The plan, based on the <u>Basic Nakagawa River System Improvement Policy</u>, specifies river improvement goals and project details in order to ensure comprehensive management of the Nakagawa River. Period: About 30 years





Example of evaluating river improvement plan (Nakagawa River)

Targets of evaluation



Cost-effectiveness of the Nakagawa River System Improvement Plan: B/C = 4.00(Net present value: B - C = 200.3 billion yen)

Benefit:

Reduction in damage as a result of construction/improvement of levees and dams according to the river improvement plan

Total benefit:

Approx. 267 billion yen

Cost:

Costs associated with construction/improvement of levees and dams during the river improvement plan period (about 30 years from the development of the plan) Total cost: **Approx. 66.7 billion yen**



Example of evaluating river improvement plan (Nakagawa River)

Factors to Calculate Economic Losses



		(Jassific	cation	Effects (Damage)	Formula		
				Housing	Damage to residential/commercial building	Amount of damage to building assets = floor area x prefecture-specified value of building per m ³ x correction coefficient x damage rate		
щ		ŵ	Dar	Household articles	Flood damage to furniture, automobiles, etc.	Amount of damage to household article assets = number of households x value of household articles per household x correction coefficient x damage rate		
fect of da		ffect of m	nage to g	Commercial depreciable assets	Flood damage to commercial depreciable fixed assets excluding land and buildings	Amount of damage to commercial depreciable assets = number of employees x value of depreciable assets per employee x correction coefficient x damage rate		
ımage mit	Direct da	inimizing	eneral ass	Commercial inventory assets	Flood damage to commercial inventory assets	Amount of damage to commercial inventory assets = number of employees x value of inventory assets per employee x correction coefficient x damage rate		
ligation th	amage	damage t	sets	Agricultural/fishery depreciable assets	Flood damage to agricultural/fishery depreciable fixed assets excluding land and buildings	Amount of damage to agricultural/fishery depreciable assets = number of agricultural/fishery households x value of depreciable assets per household x correction coefficient x damage rate		
nrough flo		to assets			Agricultural/fishery inventory assets	Flood damage to agricultural/fishery inventory assets	Amount of damage to agricultural/fishery inventory assets = number of agricultural/fishery households x value of inventory assets per household x correction coefficient x damage rate	
od con				Crop damage Flood damage to crops		Amount of crop damage = area of farm land x average yield x crop price x damage rate		
trol with t			D	amage to public works facilities	Flood damage to public works and services facilities, agricultural property and facilities	Amount of damage to public works facilities = general assets damage x ratio of public works facilities damage to general assets damage		
he river i		Effer minim dama opera	Suspen opera	Business establishments	Suspension or interruption of production activities of affected businesses (reduction in output)	Loss due to suspension of operations = number of employees x number of days operations are suspended or		
nproven	Indir	ct of lizing ge to tions	sion of tions	Public services	Suspension or interruption of public services	interrupted x added value per person per day		
nent plan	ect damage	Effect of mi post-disaste	Cost of em measu	Household finance	Financial damage to affected households due to additional cost incurred for cleaning and other post-flood activities as well as the purchase of drinking water and other replenishments	Cost of emergency measures taken by households = cost of labor for cleaning + expenditure increase due to replenishing = number of households x evaluated cost of labor equivalent x number of days required for cleaning + number of households x expenditures associated with replenishing		
		nimizing r damage	ergency res	Business establishments	Same as financial damage to households	Cost of emergency measures taken by businesses = number of business establishments x expenditures associated with replenishing		

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Economic Loss: Damage Ratio by Inundation Depth



Damage ratios have been established according to inundation depth based on the findings of flood damage investigations.

Housing: Damage	Ratio by	y Inundation	Depth

Depth	Below floor			Above floor level			Sedimentation lev	n (above floor el)
inclination	level	Less than 50 cm	50 – 99	100 – 199	200 – 299	300 cm or more	Less than 50 cm	50 cm or more
Less than 1/1,000	0.032	0.092	0.119	0.266	0.580	0.834		
1/1,000 - 1/500	0.044	0.126	0.176	0.343	0.647	0.870	0.43	0.785
1/500 or more	0.050	0.144	0.205	0.382	0.681	0.888		

Household Articles: Damage Ratio by Inundation Depth

Inundation dopth	Below floor		ŀ		Sedimentation (above floor level)			
mundation depth	level	Less than 50 cm	50 – 99	100 – 199	200 – 299	300 cm or more	Less than 50 cm	50 cm or more
Damage ratio	0.021	0.145	0.326	0.508	0.928	0.991	0.50	0.845

Commercial Depreciable/Inventory Assets: Damage Ratio by Inundation Depth

Depth	Below floor		,	Above floor leve	I		Sedimentation lev	n (above floor el)	
Assets	level	Less than 50 cm	50 – 99	100 – 199	200 – 299	300 cm or more	Less than 50 cm	50 cm or more	
Depreciable	0.099	0.232	0.453	0.789	0.966	0.995	0.54	0.815	
Inventory	0.056	0.128	0.267	0.586	0.897	0.982	0.48	0.780	

Agricultural/Fishery Depreciable/Inventory Assets: Damage Ratio by Inundation Depth

Inundation donth	Below floor				Sedimentation (above floor level)			
inunuation depth	level	Less than 50 cm	50 – 99	100 – 199	200 – 299	300 cm or more	Less than 50 cm	50 cm or more
Depreciable	0.0	0.156	0.237	0.297	0.651	0.698	0.370	0.725
Inventory	0.0	0.199	0.370	0.491	0.767	0.831	0.580	0.845

10

 Amount of damage reduction is the difference between damage before and after project implementation for a specific flood level.

Amount of damage reduction by flood level = Estimated damage before project implementation – Estimated damage after project implementation

 The amount of damage reduction for each flood level is multiplied by its probability of occurrence to obtain the expected amount of average annual damage reduction.

Expected amount of average annual damage reduction =

Σ(Amount of damage reduction by flood level) x (Probability of occurrence)



Scale at the time of assessment E.g. 1/10

Overall stock effects of flood control project

Scale of discharge	Exceedance probability	Before project implementation (1)	Amount of damage After project implementation (2)	Amount of reduction (3) = (1) - (2)	Interval average of damage amount (4)	Interval probability (5)	Average annual damage (4) x (5)	Cumulative total of average annual damage = Expected amount of average annual damage reduction
Qo	N∘			$D_0(=0)$				
	NI.				$2^{$	$N_0 - N_1$	d₁	dı
<u> </u>	1 1 1				$\frac{D_1 + D_2}{2}$	N1-N2	d₂	$d_1 + d_2$
Q ₂	N2			D2	$\underline{D_{m-1}+D_m}$	$N_m - N_{m+1}$	d _m	$d_1 + d_2 + \cdots + d_m$
Qm	Nm			Dm	2			
	Targe	t of river im	provement p	lan (plan sca	le) E.g. 1/150	Exp an	ected amo nual dama	unt of average ge reduction

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3. Projection of Future Climate Change

Projection of Future Climate in Terms of Extreme Events 望国土交通省





4. New challenges in Flood Management Based on Risk Assessment (1) Assessment of Human Damages

Life Loss Estimation (1): LIFESim Model



Source: Central Disaster Prevention Council, Report 1 from the Ninth Meeting of the Expert Committee on Large Scale Water-related Hazards Source: Cabinet Office

1. Estimating the rate of evacuation from flooded areas

- Evacuation rates were set at 0%, 40% and 80% since the evacuation rate varies depending on the scale of flood.
- Evacuation rate was 46% on average according to Web-based survey results.

Evacuation rates of actual flood events^{Note 1}

Event	Evacuation rate (%)
Heavy rain in Nagasaki (1982) ¹	13
Heavy rain in Tokai (2000) ²	44
Typhoon No. 6/Kitakami River (2002) ³	18 ^{Note 2} , 32 ^{Note 3}
Heavy rain in Niigata/Fukushima (2004) ⁴	19 ^{Note 4} , 23 ^{Note 5} , 36 ^{Note 6}
Typhoon No. 23/Toyooka (2004) ⁵	33
Hurricane Katrina (New Orleans)	Approx. 80

Web-based survey

- Conducted by the Cabinet Office and MLIT in October 2007
- Among 1,768 people living in 18 municipalities susceptible to flooding of the Arakawa River.
- The largest number of respondents said that the reason for not evacuating was because they lived high up in the building.
- Note 1: Parameters for determining the evacuation rate vary depending on investigations. Evacuees include those who evacuated after flood struck and those who evacuated to a shelter located within the flooded area.
- Note 2: The total number of evacuees is the number of people who responded. Note 3: The total number of evacuees is the number of households that suffered inundation above or below floor level.
- Note 4: Mitsuke City, Note 5: Sanjo City, Note 6: Nakanoshima-machi

2. Estimating life loss

- (1) The life loss estimation model developed by the US Army Corps of Engineers was used.
- (2) Three flood zone categories were established in relation to the depth of inundation above floor level.
- (3) The number of people for each category was calculated on the basis of age and the number of floors in the building where they lived, which was then multiplied by the fatality rate.
- All people over the age of 65 can move to the highest habitable level of the building.
- All people under the age of 65 can climb to a higher level such as a roof.
- People can evacuate if the water depth does not exceed 60 cm above ground surface.



Life Loss Estimation (2): LIFESim Model





•Based on a re-calculation of fatalities in Hurricane Katrina, estimated life loss was 1,086, which fell between the actual number of people killed (867) and missing persons (1,259).⁷

1) Disaster and Information Research Group, The Institute of Journalism, University of Tokyo, "Community Response to July 1982 Flood in Nagasaki, University of Tokyo Institute of Journalism, 1984; 2) Osamu Hiroi, et al., "Communication and Community Response to 2000 Heavy Rain in Tokai," The Bulletin of the Institute of Socio-Information and Communication Studies, The University of Tokyo, Vol. 19, 2003; 3) Motoyuki Ushiyama, et al., "Community Response to Typhoon 0206 and Challenges," Disaster Prevention Research Institute Annuals No. 46, 2003; 4) Osamu Hiroi, "Community Action and Communication in July 2004 Flood in Niigata/Fukushima," Research Survey Reports in Information Studies No.23, Interfaculty Initiative in Information Studies, The University of Tokyo, 163-287, 2005; 5) Isao Nakamura, et al., "Communication Problems in Flood Due to 2004 Typhoon No 23"; 6) City of New Orleans, "New Orleans One Year After Katrina," 2007; 7) US Army Corps of Engineers, "Performance Evaluation of the New Orleans and Southeast Louisiana Hurricane Protection System," Draft Final Report of the Interagency Performance Evaluation Task Force, June 2006; 12) Housing Loan Corporation/Yutakana Juseikatsuo Kangaeru Kai, *Nihon no Jutakuga Wakaru Hon*, PHP Institute, 1994; 12) Article 22 of the Order for Enforcement of the Building Standards Act

Method for predicting the number of isolated people

1. Method of estimating the number of isolated persons

- (1) Calculate the number of evacuees to areas outside the flood areas.
- (2) As in the calculation of death toll, evacuation rates are assumed at 0%, 40% and 80%.
- (3) Of the persons who did not evacuate, the population of the area flooded more than 60cm from the ground, which is the water depth more than which people are difficult to evacuate, as the number of isolated persons.
- 2. Evacuation is difficult when inundation depth reaches 60cm
- (1) In the U.S. human damage simulation model, 60cm is adopted as the flood water depth over which evacuation becomes difficult.
- (2) In the flood caused by Tokai Torrential Rain, people were rescued at the flood water depth more than knee height (about 50cm or more).
- (3) According to the results of the questionnaire to the people who evacuated in the Ise Bay Typhoon, the flood water depth over which evacuation was difficult was 70cm for men and 50cm for woman.
- (4) Based on the above, the flood water depth over which evacuation becomes difficult is set to 60cm.

(Reference) Flood water depth at which people were rescued for evacuation with a rubber boat or other in the flood by the Tokai Torrential Rain¹⁴⁾

Inundation depth upon evacuation	Not flooded	Ankle	Knee	Waist	Breast	Total
Number of persons	0	0	2	10	22	34

3. Method of estimating the number of evacuees

(1) Based on the assumption of the capability and number of boats available for rescuing isolated persons

Capability and number of rubber boats *1

		Ministry of Defense	National Police Agency	Fire Defense Agency
Boarding capacity for evacue	ees * ²	11 persons *5	2 persons	2 persons
Travel speed *3 Outward		2.6km/h	2.0km/h	2.0km/h
	Return	2.0km/h	1.2km/h	1.2km/h
Number of boats *4		about 300	about 600	about 1,000

- *1 Created by Cabinet Office based on results of hearings from the National Police Agency, Fire Defense Agency, and Ministry of Defense, etc.
- *2 The maximum boarding capacity for evacuees is not necessary with the fixed capacity
- *3 Speed of travel by rowing the boat is assumed because there may be many obstacles such as driftwood.
- 4 The number of boats held in the Eastern Army (Ground Self Defense Force) and the Yokosuka District Fleet (Maritime Self Defense Force) for the Ministry of Defense, and in the prefectures of Ibaragi, Tochigi, Gunma, Saitama, Chiba, Tokyo, and Kanagawa for the National Police Agency and Fire Defense Agency
- *5 Reconnaissance boat (2 evacuees), another type of reconnaissance boat (3 evacuees), and river-crossing boat (23 evacuees) are included the number of boats by weight average.

(2) Calculate the number of evacuees by multiplying the number of persons to be rescued per boat / hour by the number of boats and the number of activity hours

Cycle of rescue

Source: Cabinet Office



Number of evacuees (person/day)

= Number of persons to be rescued per boat / hour (persons / hour-boat) x Number of boats x Activity time (hours / day)

Number of persons to be rescued per boat / hour (persons / hour-boat)

= Boarding capacity for evacuees (persons/boat) / Time of 1 cycle (hours)

Travel distance of boats

For the travel distance from the advance position to the rescue point, the average distance from each rescue point to the nearest unflooded area (except for area surrounded by the flooded areas) is used.





13) The Japan Building Disaster Prevention Association, June 2002, "Guidelines for Anti-Inundation Measures in Underground Space and Commentary Thereof", The Japan Building Disaster Prevention

14) HIROI Osamu et al, "Communication of Disaster Information and Response of Residents in 2000 / 2003 Tokai Torrential Rain Disasters" (ibid)



7





Building a model for rivers in the Koto delta Source: Cabinet Office

Based on laser profiler (LP) data and the results of field surveys, the drainage calculation model with rivers (canals) in the Koto delta was built.



Differences in the submergence condition depending on the operation of drainage facilities (5 Koto delta stagnant flood)

If drainage facilities do not work, areas in which about 860,000 people live would remain flooded one week after dikes break.
 If drainage facilities work, it would take about 5 days before drainage is completed
 Once every 200 years





Note: Listed the municipalities where the death toll is 10 or more when the evacuation rate is 0%.



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Comparison of the death toll caused when dikes break due to floods that occur at a probability of once every 200 Death toll years with that of deaths caused when dikes break due to floods about 30% larger in volume (ones that occur at a probability of once every 1,000 years) (Koto delta stagnant flood)

• In the case of floods with water retained in the Koto delta, comparison of the case in which dikes break due to a flood that occurs at a probability of once every 200 years with the one in which dikes break due to a flood 30% larger in volume (one which occurs at a probability of once every about 1,000 years) indicates that the submerged area and the population in flooded areas in the latter case would be 1.1-1.4 times as large, but that due to a greater flood water depth, the death toll would increase substantially, to 2.1-2.4 times as high.



1/200 years flood: Average rainfall in the basin: about 550mm/3 days, Flood flow: about 14,000 m3/s (lwabuchi Water Gate (upstream) Gauging Station) 1/1000 years flood: Average rainfall in the basin: about 680mm/3 days, Flood flow: about 18,000 m3/s (Same as above)

(Note) Wetted surface area and population in flooded areas cover the areas to be affected by flood from the bank collapse site. (Flood from the overtopping site is excluded since the depth of flooded water in overtopping is often shallow, which causes few fatalities) The death toll also includes fatalities in areas of overtopping flood.

Changes in the number of isolated people after rescue efforts (evacuation rate: 40% Note 1; Once every (5) Koto delta stagnant flood) 200 years Source: Cabinet Office

If police office, fire and disaster management office, and Self-Defense Force make rescue efforts for 12 hours a day^{Note 2}, rescue work is completed 9 days after dikes break if drainage facilities do not work and 3 days if all drainage facilities work.



No pump operation; no fuel supply; no floodgate operation; no pumper truck available; once every 200 years





* 1: Note: The evacuation rate of 40% adopted in this material does not necessarily mean that this rate is typical in any municipality. Evacuation rates may substantially vary according to the content of various information that communicates the urgency of flood and timing to provide such information, timing and method of issuing evacuation advisory, preparedness at ordinary times such as preparation of flood hazard maps and implementation of evacuation drills, etc. *2: Assumed rescue operations using the boats held in the prefectures of Ibaragi, Tochigi, Gumma, Saitama, Chiba, Tokyo, and Kanagawa, Tokyo Fire Department, and the Metropolitan Police Department for the National Police Agency and Fire Defense Agency and boats held in the Eastern Army (Ground Self Defense Force) and the Yokosuka District Fleet (Maritime Self Defense Force) for the Ministry of Defense (1,900 boats in total).

Number of isolated people



4. New Challenges in Flood Management Based on Risk Assessment
(2) Assessment of Safety Level against Flood for Small-and-Medium-Scale Rivers Managed by Local Governments with Detailed Topographic Data

with Detailed Topographic Data



Range of Airborne Laser Profiler Observations 🔮 国土交通省

Evaluated Safety Level against Flood in Nakagawa R. (Present status)

5. Summary

1. Current Status of Flood Risk Assessment in Japan

Economic damages have been estimated in the case of the evaluation of the effectiveness of river improvement plans through cost-benefit analysis.

2. New challenges in Flood Management Based on Risk Assessment

1) Assessment of Human Damages and the Key Facilities' Damages and Their Effects in Large-Scale Rivers

Human damages (death toll, isolated people) and the ones of key facilities and their effects were evaluated for some large-scale rivers. The results have been used for designing crisis-management plans in case of large-scale floods

2) Assessment of Safety Level against Flood for Small-and-Medium-Scale Rivers with Detailed Topographic Data

Nationwide observations of detailed topography in flood-prone areas with airborne laser profiler were conducted. The observational results have been used to evaluate flood safety level of sections of small-andmedium-scale rivers managed by local governments for the implementation planning of river works.